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DATE MAILED: 04/24/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
09/189,543	11/10/1998	MARK CHEE	A-66828-1/DJ		
7:	590 04/24/2003				
FLEHR HOHBACH TEST ALBRITTON AND HERBERT SUITE 3400 FOUR EMBARCADERO CENTER			EXAMINER		
			MARSCHEL, ARDIN H		
SAN FRANCIS	SCO, CA 941114187		ART UNIT	ART UNIT PAPER NUMBE	
			1631	23	

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application	No.	Applicant(s)				
Office Action Summary		09/189,543		CHEE ET AL.				
		Examiner		Art Unit				
		Ardin Marso	chel	1631				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address								
Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status								
1)⊠	Responsive to communication(s) filed o	on <u>24 December 20</u>	<u> 002</u>					
2a)⊠	•	☐ This action is n		•				
Since this application is in condition for allowance except for formal matters, prosecution as to the merits is								
-	closed in accordance with the practice on of Claims		<i>ayle</i> , 1935 C.D. 11, 4	193 O.G. 213.				
4)⊠ Claim(s) <u>37-55</u> is/are pending in the application.								
4a) Of the above claim(s) is/are withdrawn from consideration.								
5)⊠ Claim(s) <u>52, 54, and 55</u> is/are allowed.								
6)⊠ Claim(s) <u>37-51 and 53</u> is/are rejected.								
7)⊠ Claim(s) <u>38, 39, and 48-50</u> is/are objected to.								
8) Claim(s) are subject to restriction and/or election requirement.  Application Papers								
9) The specification is objected to by the Examiner.								
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner.								
If approved, corrected drawings are required in reply to this Office action.								
12)☐ The oath or declaration is objected to by the Examiner.								
Priority under 35 U.S.C. §§ 119 and 120								
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a) All b) Some * c) None of:								
1. Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.								
14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).								
a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.								
Attachment(s)								
1)  Noti	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO- mation Disclosure Statement(s) (PTO-1449) Paper	-948) r No(s)	4) Interview Summa 5) Notice of Informa 6) Other:	ry (PTO-413) Paper No(s)  Patent Application (PTO-152)				

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## **DETAILED ACTION**

Applicants' arguments, filed 12/24/02, have been fully considered but they are not deemed to be persuasive. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set presently being applied to the instant application.

#### **NEW MATTER**

Claims 37-51 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Support for newly added claims 37-51 was pointed to by applicants to originally filed claims. Consideration of these originally filed claims revealed that array compositions are claimed which comprise a substrate with microspheres with first and second subpopulations of bioactive agents without comprising an optical signature. The more generic limitation which is now in claim 37 directed to the phrase "do not comprise a label" as characterizing first and second populations has not been found as filed. This broadening of the negative limitation from and optical signature to a generic "label", or, alternatively, applying this negative limitation to first and second populations, is therefore NEW MATTER. Independent claims 51 and claims dependent either directly or indirectly from claim 37 also contain this NEW MATTER. This rejection is necessitated by amendment.

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# **VAGUENESS AND INDEFINITENESS**

Claim 53 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 53 depends from canceled claim 15 and therefore is vague and indefinite as to what is meant by said dependence. Clarification via clearer claim wording is requested. This rejection is necessitated by amendment.

## IMPROPER DEPENDENCE

Claims 38, 39, and 48-50 are objected to under 37 CFR § 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form.

Claim 37 requires that the microsphere population with subpopulations do not comprise a label. The label, such as a identifier binding ligand of claims 38, 39, and 48-50 therefore cite embodiments which are outside of the metes and bounds of claim 37 from which they depend and therefore are not further limiting dependent claims as required by 37 CFR § 1.75(c). This objection is necessitated by amendment.

Claims 52, 54, and 55 are allowed.

Applicants' amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicants are reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

No claim is allowed.

Papers related to this application may be submitted to Technical Center 1600 by facsimile transmission. Papers should be faxed to Technical Center 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notices published in the Official Gazette, 1096 OG 30 (November 15, 1988), 1156 OG 61 (November 16, 1993), and 1157 OG 94 (December 28, 1993)(See 37 CFR § 1.6(d)). The CM1 Fax Center number is either (703)308-4242 or (703)305-3014.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ardin Marschel, Ph.D., whose telephone number is (703)308-3894. The examiner can normally be reached on Monday-Friday from 8 A.M. to 4 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Woodward, Ph.D., can be reached on (703)308-4028.

Any inquiry of a general nature or relating to the status of this application should be directed to Legal Instrument Examiner, Tina Plunkett, whose telephone number is (703)305-3524 or to the Technical Center receptionist whose telephone number is (703) 308-0196.

April 24, 2003